



CENTER FOR INFRASTRUCTURE ENGINEERING STUDIES

Highway/Rail Crossing Project Selection

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EXECUTIVE SUMMARY

Missouri has over 4000 Highway/Rail crossings. The Missouri Department of Transportation (MODOT) currently uses an Exposure Index formula to prioritize crossings for safety upgrades at rail-highway crossings. The EI formula was developed in the 1970's and has not changed since then. This study evaluates the effectiveness of the EI formula and examines the possibility of adoption of an alternative formula for use in Missouri for prioritizing crossings for safety improvements.

A list of models used by different states to prioritize rail-highway grade crossings was assembled. The source of this list is a report produced by the University of Illinois in September 2000 (Elzohairy and Benekohal, 2000). Seven models, which are generally used by most of the states, were selected for study.

Following the identification of models for study, a panel of officials associated with MoDOT, the U.S. Department of Transportation, and Railroad Companies was assembled to participate in a one day workshop. The panel was asked to address several questions:

- What are the objectives of a grade crossing model?
- What are the key characteristics of a 'good' grade crossing model?
- What key variables should be present in a 'good' grade crossing model?
- How do we identify the "best" model?

At the end of the workshop, eight criteria, along with their relative importance, were identified that could be used to select a "best" model. These criteria and their associated weights were combined into an index value that was then used to rank the models.

The most important criterion was the accuracy of each model in predicting the ranking of crossings in Missouri. In order to assess this performance, data were obtained for 12 representative crossings (6 with passive control and 6 with flashing lights) across the state from

the Missouri Crossing Inventory. MoDOT staff then ranked these sites within each category to establish baseline rankings. The ability of each of the models under consideration to replicate these baseline rankings was quantified with a simple Spearman rank correlation coefficient.

After the models were analyzed and final indices developed, the panel of experts was assembled again to review and select a potential replacement model for the EI. In preparation for this second workshop, a modification of the EI formula was also developed. At the end of this second workshop, the panel recommended the research team conduct sensitivity analyses on modifying the Kansas Design Hazard Rating model for possible use in Missouri.

Subsequent analyses were inconclusive in determining potential modifications to the Kansas Model. However, it is our finding that consideration should be given to replacing the EI with a form of the Kansas model and that further research be conducted on defining the necessary modifications to the Kansas Model.

1 INTRODUCTION

The Missouri Department of Transportation (MoDOT) seeks to ensure the maximum possible level of safety at the approximately 4000 highway/rail crossings in the state. Prioritizing the 4000 locations with widely varying traffic, geometric, and control characteristics is a highly complex task. The Missouri crossing improvement program currently uses a calculated Exposure Index (EI) to prioritize for possible improvements. High priority sites are studied in detail to develop specific improvement using the funds available from a range of funding categories.

Missouri's EI has remained unchanged since it was developed in the 1970's. At the request of MoDOT, researchers at the University of Missouri-Rolla and University of Missouri-Columbia have jointly undertaken a study to examine the efficacy of the Exposure Index formula and recommend potential enhancements.

Highway-rail grade crossings are controlled through the use of two kinds of warning devices- passive and active. Passive traffic control systems, consisting of signs and pavement markings, identify and direct attention to the location of a highway-rail grade crossing and advise drivers, bicyclists, and pedestrians to take appropriate action (FHWA 2000). Active traffic control systems inform drivers, bicyclists, and pedestrians of the approach or presence of trains, locomotives, or other railroad equipment at highway-rail grade crossings (FHWA 2000). Active control is achieved through the use of electric devices that are triggered by the passing of the train over a track. Gates and flashing lights are examples of active control devices.

Current statistics say that roughly one-half of the United States' approximately 3,500 highway-rail incidents (and approximately 400 related fatalities) occur at passive crossings. Thirty years ago, the United States suffered about 1,500 fatalities a year at crossings. Now the number is four times lower, but there has been no clear improvement in driver behavior or crash

experience for those at-grade crossings that still have only passive warning devices (NCHRP Report 470, 2002). It is obvious that the ideal solution to mitigate accidents would be separation of the rail track and the road. But this is not economically feasible in most situations. The next best thing is to install gates at all rail-highway grade crossings for which separation would not be possible. However, fiscal constraints again limit the installation of gates everywhere. So “use of gates is usually reserved for the most dangerous crossings and less-effective devices (passive devices) are installed at other locations” (Muth & Eck ,1986).

Fiscal constraints make it imperative that funds be spent in a manner that will realize the most benefits (e.g., reduction in accidents). For this reason, the federal-aid highway program requires that each state have a systematic procedure for determining grade crossing improvement priorities. This procedure should allocate funds in a manner likely to produce the greatest accident and casualty reduction benefit. To do this, states developed models that could predict how dangerous a crossing maybe and thus allocate funds for safety improvements. The Department of Transportation/Association of American Railroads (DOT/AAR) Nation Railroad-Highway Crossing Inventory and accident data helped with the development of these models. This inventory had in it data that were used to formulate relationships among the various geometric, traffic characteristics at a crossing and the accident frequency at the crossing (Muth & Eck,1986).

The collection of crossing-specific data began in the early 1900’s as a result of the Accidents Report Act (1910). The Act required rail carriers to submit reports of accidents involving rail and highway users. The Federal Railroad Administration (FRA) introduced a revised Act in 1975 that differed from the Act of 1910 in the criteria for reporting highway-rail accidents (Railroad-Highway Crossing Handbook 1986). This Act resulted in improved

inventories of accident data across the country. This in turn led to the development of the Resource Allocation Model (RAM)- a model that would help states allocate funds for improving safety levels at a crossing. The development of accident prediction formulas was a necessary precursor for the development of the RAM. The DOT rail-highway crossing accident prediction formulas were developed by using nonlinear multiple regression techniques applied to the crossing characteristics available in the national inventory and the accident data supplied by the Federal Railroad Administration (FRA). The model calculates the expected annual accident rate at a crossing. (Muth & Eck, 1986).

Most states favored the use of a hazard index formula to indicate crossings with the greatest need for improvement. Other states preferred the use of a formula that would predict the number of accidents at a crossing. In either case, the most dangerous crossings would be indicated and suitable improvements could be made at that particular crossing. The procedure in making safety improvement decisions was to, first, rank all the crossings by some hazard model, then from this list to select the most hazardous crossings. On the basis of information gathered from on-site visits, the applicability of available alternatives, and expected safety improvements, a final decision was made. The result was a list of the most hazardous crossings (Muth & Eck, 1986).

The difference between accident prediction models and hazard index models is suggested by the name itself. Accident prediction models produce an absolute expected number of accidents. Hazard index models produce a relative index for each crossing, which have value only when compared to the individual hazard indices produced by another crossing. Crossings that are higher ranked than others will be considered for engineering review and suitable treatments decided thereon. Funds are allocated once crossings are both ranked and reviewed.

2 OBJECTIVES

The objectives of this study are:

- To determine the best method/process for selecting highway/rail crossing projects in Missouri. The best procedure will then be documented for application.
- To identify promising new crossing treatments that can be applied in Missouri.

3 PRESENT CONDITIONS

3.1 MISSOURI'S EXPOSURE INDEX FORMULA.

The Missouri crossing improvement program currently uses a calculated Exposure Index (EI) to prioritize crossings for possible improvements. High priority sites are studied in detail to develop specific improvements using the funds available from a range of funding categories. The EI formula is a two-part equation. The first is a relationship between the train and highway factors. The second adjusts for the highway approach sight distances. The EI formula uses nine factors: number and speed of vehicles, number of passenger and freight trains, speed of passenger and freight trains, switching movements, and required and actual sight distance. All nine factors, or data items, are currently maintained in Missouri's crossing inventory. The EI is computed differently depending on the type of control at the crossing. The highest priority is assigned to the crossing with the highest calculated index.

When a passive to active upgrade is being considered, the EI is

$$EI = TI + SDO(TI)$$

When considering upgrades from flashing lights to gates, the EI is equal to TI

$$EI = TI$$

Where

TI = Traffic Index

SDO = Sight Distance Obstruction Factor

$$= \frac{\text{Required Sight Distance} - \text{Actual Sight Distance}}{\text{Required Sight Distance}}$$

The traffic index (TI) is the major component of the exposure index. It is determined as follows:

$$TI = \frac{(VM \times VS)[(FM \times FS) + (PM \times PS) + (SM \times 10)]}{10000}$$

Where

TI = Traffic Index

EI = Exposure Index

VS = Vehicle Speed

VM = Vehicle Movements

PM = Passenger train movements

PS = Passenger train speed

FM = Freight train movements

FS = Freight train speed

SM = Switching movement

4 TECHNICAL APPROACH

In order to assess the Exposure Index (EI) and the potential replacements for the EI, the research team developed a four step framework for evaluating potential models. First potential models for review were selected. Second, a panel of experts was gathered to develop criteria for selecting the “best model. Third, relative weights were determined for these criteria. Lastly, the criteria were applied to the models under review.

4.1 MODEL SELECTION

The research team selected seven models that were most commonly used by states in some form and had potential for use in the State of Missouri. A few models that were studied are modified versions of a basic model and were selected because of the input variables used for calculating either the expected number of accidents or a hazard index were compatible with data collected in Missouri. The following are descriptions of the seven models selected for the study.

4.1.1 USDOT Accident Prediction Model.

The DOT accident prediction formula combines three calculations to produce an accident prediction value. The expected number of accidents at a crossing is calculated using the following formulas:

- A formula that contains geometric and traffic factors from the inventory file
- A formula that involves crash history
- A formula that incorporates the effect of the existing warning devices

The basic formula provides an initial prediction of accidents on the basis of crossing characteristics. It can be expressed as a series of factors that, when multiplied together, yield an initial prediction of the number of accidents per year at a crossing. Each factor in the formula represents a characteristic of the crossing. The formula is:

$$a = K \times EI \times DT \times MS \times HP \times HL \times HT$$

Where

a = un-normalized initial crash prediction, in crashes per year at the crossing

K = formula constant

EI = factor for exposure index based on product of highway and train traffic

DT = factor for number of through trains per day during daylight

MS = factor for maximum timetable speed

MT = factor for number of main tracks

HP = factor for highway paved (yes or no)

HL = factor for number of highway lanes

The above factors are calculated from Table 4-1. Different sets of equations are used for each of the three categories of traffic control devices.

Table 4-1: USDOT Accident Prediction Model Factors

Type of control	Formula Constant	Exposure Index Factor	Day Through Trains Factor	Maximum Timetable Speed Factor	Main Tracks Factor	Highway Paved Factor	Highway Lanes Factor
	K	EI	DT	MS	MT	HP	HL
Passive	0.0006938	$\left[\frac{(c \times t + 0.2)}{0.2} \right]^{0.37}$	$\left[\frac{(d + 0.2)}{0.2} \right]^{0.178}$	$e^{0.0077ms}$	1.0	$e^{-0.5966 (hp-1)}$	1.0
Flashing	0.0003351	$\left[\frac{(c \times t + 0.2)}{0.2} \right]^{0.4106}$	$\left[\frac{(d + 0.2)}{0.2} \right]^{0.1131}$	1.0	$e^{.1917mt}$	1.0	$e^{0.1826 (hl-1)}$
Gates	0.0005745	$\left[\frac{(c \times t + 0.2)}{0.2} \right]^{0.2942}$	$\left[\frac{(d + 0.2)}{0.2} \right]^{0.1781}$	1.0	$e^{0.1512mt}$	1.0	$e^{0.1420 (hl-1)}$

The abbreviations in Table 4.1 are defined as follows:

c = number of highway vehicles per day

t = number of trains per day

mt = number of main tracks

d = number of through trains per day during daylight

hp = highway paved? Yes=1.0 and No=2.0

ms = maximum timetable speed, mph

hl = number of highway lanes

The second formula, which is the general DOT accident prediction model, is expressed as follows:

$$B = \frac{T_o(a)}{(T_o + T)} + \left[\frac{T}{(T_o + T)} \right] \left(\frac{N}{T} \right)$$

Where

N = observed crashes in T years at the crossing

T = number of years of recorded crash data

T₀ = formula weighting factor 1.0/ (0.05+a)

The formula provides is most accurate if all the accident history data available is used; however, the extent of improvement is minimal if data for more than five years are used. Accident history information older than five years may be misleading because of changes that occur in the course of time. If a significant change has occurred to a crossing, like the installation of signals, only accident data since the change should be recorded.

The final crash prediction was developed using the 1992 normalizing constants. The formula are:

$A = 0.8239 \times B$ for passive

$A = 0.6935 \times B$ for Flashing lights

$A = 0.6714 \times B$ for Gates

Where

A= final accident prediction, crashes per year at the crossing

To summarize the accident prediction model, the basic formula provides an initial prediction of accidents on the basis of a crossing characteristic. The second calculation utilizes the actual accident history and the output from the basic formula (a) at a crossing to produce an accident prediction value. The third and final formula calculates the final prediction value, using the output from the second formula (B) and a factor for each crossing control type. The assumption in this model is that the accidents/year in the future will be the same as the average accidents/year over the period of time used for calculation.

4.1.2 California's Hazard Rating Formula.

California uses the hazard rating formula, which uses four factors- number of vehicles, number of trains, crossing protection type and the crash history as input to the model. This formula uses a ten-year crash history as input. This formula does not compute the number of crashes but rather produces a hazard index as a surrogate for the number of crashes. The highest priority is assigned to the crossing with the highest calculated index.. The hazard index is calculated as:

$$HI = \frac{V \times T \times PF}{1000} + AH$$

Where

V= Number of vehicles

T= Number of trains

PF= Protection Factor from Table 4-2

H= crash history= Total number of crashes within the last ten years*3

Table 4-2 Protection Factor Values

Devices	PF
Stop sign or Cross buck	1.0
Flashing lights	0.33
Gates	0.13

4.1.3 Connecticut's Hazard Rating Formula.

Connecticut uses a hazard rating formula that is very similar to that of California. The only difference between the two is the crash history period. Connecticut uses a ten-year crash history while California uses a five-year history. This formula uses four factors - number of vehicles, number of trains, crossing protection type and the crash history as input to the model. This formula does not compute the number of crashes but rather produces a hazard index as a surrogate for the number of crashes. The highest priority is assigned to the crossing with the highest calculated index

The Hazard Index is calculated from the following formula:

$$HI = \frac{(T + 1)(A + 1) \times AADT \times PF}{100}$$

Where

T = Trains movements per day

A = Number of vehicle/train crashes in last 5 years

AADT = Annual Average Daily Traffic

PF = Protection Factor from Table 4-3

Table 4-3 Connecticut's Protection Factor Values

Devices	PF
Passive Warning Devices	1.25
Railroad Flashing lights	0.25
Gates with railroad Flashing lights	0.01

4.1.4 Modified New Hampshire formula.

The original New Hampshire formula uses three factors- number of vehicles per day, number of trains per day and a protection factor based on the type of crossing. However, this model does not account for sight distance, which is an important variable for this study.

Therefore, for this study, a modified version of this formula, developed by New Mexico, has been used. This formula does not compute the number of crashes but rather produces a hazard index as a surrogate for the number of crashes. The highest priority is assigned to the crossing with the highest calculated index.

The Modified New Hampshire Formula is as follows:

$$HI = \frac{TrainADT \times HighwayADT \times PF}{100} \times SD_f \times T_s \times AH_f$$

Where

- PF = Protection Factor from Table 4-4
- SD_f = Sight Distance Factor
 - = 1.0 No Restrictions
 - = 1.2 Restrictions at 1 quadrant
 - = 1.5 Restriction at more than one quadrant
- T_s = Train Speed (mph)
- AH_f = A 5-yr Crash History Factor = (A+B+C)
 - A = 0.10 for each Property Damage crash
 - B = 0.20 for each injury crash
 - C = 0.30 for each fatal crash

Table 4-4 Modified New Hampshire's Protection Factor Values

Warning Device	Protection Factor
Gates	0.11
Lights	0.20
Wig-Wags	0.34
Signs	0.58
X-Bucks	1.00
None	2.00

4.1.5 Kansas's Design Hazard Rating Formula.

Kansas uses the Design Hazard Rating Formula, which uses five factors- number of vehicles, number of fast trains, number of slow trains, angle of intersection between the road and the track (0-90 degree range), and the sight distances of all the four quadrants. This formula does not compute the number of crashes but rather produces a hazard index as a surrogate for the number of crashes. If the computed Hazard Rating is less than 0, it is set to 0. The highest priority is assigned to the crossing with the highest calculated index.

The Design Hazard Rating Formula is:

$$HR = \frac{A \times (B + C + D)}{4}$$

Where

$$A = \frac{HT \times (2 \times NFT + NSST)}{400}$$

Where

HT= Highway Traffic

NFT= Number of Fast trains

NSST= Number of slow trains. Switch trains are not included in NST

$$B = 2 \times 3 \sqrt{\frac{8000}{\text{sum of max sight distance 4ways}}}$$

$$C = \sqrt{\frac{90}{\text{Angle of Intersection}}}$$

And D= value from Table 4-5

Table 4-5 Kansas's protection Factor Values

Number of main tracks	D
1	1.00
2	1.50
3	1.80
4	2.00

4.1.6 Missouri's Exposure Index Formula.

Missouri uses the Exposure Index Formula, which uses nine factors- number and speed of vehicles, number of passenger and freight trains, speed of passenger and freight trains, switching movements, required and actual sight distance. It produces an index, using a different formula based on the type of crossing protection at the crossing. The highest priority is assigned to the crossing with the highest calculated index.

The EI is computed differently depending on the type of control at the crossing.

A) When a passive to active upgrade is being considered the EI is

$$EI = TI + SDO(TI)$$

B) When a Active upgrade is considered

$$EI = TI$$

Where

TI= Traffic Index

SDO = Sight Distance Obstruction Factor

$$= \frac{\text{Required Sight Distance} - \text{Actual Sight Distance}}{\text{Required Sight Distance}}$$

The traffic index (TI) is the major component of the exposure index. It is determined as follows:

$$TI = \frac{(VM \times VS)[(FM \times FS) + (PM \times PS) + (SM \times 10)]}{10000}$$

Where

TI= Traffic Index

EI=Exposure Index

VS=Vehicle Speed

VM= Vehicle Movements

PM= Passenger train movements

PS= Passenger train speed

FM= Freight train movements

FS= Freight train speed

SM= Switching movement

4.1.7 Illinois's modified expected accident frequency formula

In 2000, the Illinois Department of Transportation (IDOT) conducted a study that evaluated the Expected Accident Frequency Formula used by the state to rank grade crossings (Elzohairy and Benekohal, 2000). The study recommended a model that is being used by this study for evaluation. It is not clear if Illinois is currently using the model recommended by the study. The model was developed using non-linear regression analysis procedure on grade crossing accidents in Illinois. The model is as follows:

$$IHI = 10^{-6} \times A^{2.59088} \times B^{0.09673} \times C^{0.40227} \times D^{0.59262} \times (15.59 \times N^{5.60977} + PF)$$

Where

A $\ln(ADT \times NTT)$

ADT Average Daily Traffic

NTT =Number of total trains per day

B =MTS, Maximum Timetable Speed, mph

C =(NMT+NOOT), Number of main tracks and other tracks

D =NOL, Number of highway lanes

N =Average number of crashes per year

PF =Protection Factor; 35.57 for Gates, 68.97 for Flashing Lights, 86.39 for Crossbucks

4.2 FORMATION OF EXPERT PANEL

The second stage of this study involved the forming of an expert panel, consisting of the state's highway and rail officials. The panel consisted of MoDOT and MCRS staff, the research team, and representatives from FRA, FHWA, Union Pacific, and BNSF. A workshop was conducted by the research team to obtain input from the expert panel regarding the following:

- The objectives of a grade crossing model
- Key characteristics of a 'good' grade crossing model
- The key variables that should be present in a 'good' grade crossing model

- Measures to be used to evaluate models

The objectives of a grade crossing model, as defined by the expert panel are:

- Safety- A ‘good’ grade crossing model should improve the safety at a crossing
- Rank crossings in order of relative priority
- Establishing weights for factors involved- The input factors should be weighted in a ‘good’ grade crossing model to account for its importance in calculating the number of accidents at a crossing or the hazard index of a crossing
- Bringing crash rate to zero- A ‘good’ grade crossing model should indicate the crossings that need safety improvements, which in turn will help bring the crash rate to zero.

The characteristics of an “ideal” grade crossing model, as defined by the expert panel are:

- It should be able to predict accident frequency
- It should be explainable and defensible
- The data elements should be available in the state’s database (Missouri in this case)
- It should suggest crossing treatment
- It should cover FHWA requirements.

The expert panel also identified ‘key’ variables that should ideally be present in a grade crossing model (for application in Missouri). They are:

- Annual Daily Traffic
- Approach Sight Distance vs. Recommended Sight Distance
- Stopping Sight Distance vs. Recommended Sight Distance
- Speed of Train
- Number of passenger trains
- Speed of highway traffic
- Total number of trains
- Clearance time- The time taken by the motorist to clear the crossing

A set of eight criteria were defined by the expert panel for evaluating these models. They are:

- Accuracy of the model
- Number of difficult variables in the model
- Explain ability
- Number of key variables
- Inclusion of crossing type
- Number of unavailable data variables
- Number of total variables
- Inclusion of weighting factors

4.3 WEIGHTING FACTORS

Each criterion was weighted by the expert panel. In order to obtain weights of each of the evaluation criteria, one thousand dollars of play money was given by the research team to each expert and he/she was asked to allot money to each criterion based on its importance. The proportions of money allotted by all the members of the expert panel was summed up and the average proportion allotted to each variable was normalized on a 0-1 scale. Table 4-6 displays the weights of each of the measures against the corresponding measure and Appendix II shows the money value attached by each participant to each measure.

Table 4-6 Weights of Evaluation Criteria

Accuracy (0.427)	Inclusion of crossing type (0.078)
Explainability (0.124)	Number of data variables unavailable (0.068)
Number of key variables (0.092)	Has weighting factors (0.067)
Number of difficult variables (0.082)	Number of total variables (0.061)

4.4 EVALUATION METHODOLOGY

At this stage, an evaluation methodology was defined by the research team based on the input from the expert panel. For each criterion, a performance measure was developed by the

research team. Finally, using a weighted score model, a final index was calculated using all the weighted performance measures. This final index determined the desirability of any given model. A high index indicates a more desirable model.

Each criterion will be explained in more detail in the following sections. The method used to measure the performance of each model for each criterion is also explained. Some of the performance measures were normalized, while the others were simply converted to the desired scale. The criteria that have been normalized are the number of key variables, the number of difficult variables and the total number of variables.

4.4.1 Accuracy

Every model's function is to rank crossings in order of priority for safety improvement. Funds are allotted by the state primarily based on this ranking. Hence it is important that the rankings determined by a model is 'correct' or 'accurate'. Hence in this context, 'accuracy' of the model is defined as the model's ability to 'correctly' predict rankings of crossings.

How do we determine if the model is 'accurately' ranking crossings? It has to be compared to a 'true' ranking set and thereby it can be determined if the model is good at ranking crossing. But what is the 'true' or 'correct' ranking set that can be used for comparison? The existing rankings of crossings cannot be a baseline for comparison because it is the output of an existing grade crossing model, which is under examination. There is a problem of comparing predicted accident rates with 'actual' accident rates because of possible flaws in the 'actual' accident data collected.

To solve this problem, it was decided that an expert panel consisting of MoDOT staff would determine the 'truth' or the baseline ranking set. The expert panel selected six representative crossings for each crossing control category (passive and active) and ranked them.

The research team defined this set as the baseline. Accuracy of a model is determined by comparing the ranking predictions of the model with that of the baseline. Table 4-7 shows the baseline rankings of the twelve representative crossings- six in each crossing control category (passive and active)

Table 4-7 Rankings of Crossing by Crossing Control Type

PASSIVE WARNING DEVICE	ACTIVE WARNING DEVICE
1. Md Stage Rd (UP), Cole Co.	1. Eisenhower St. (BNSF), Barry Co.
2. Co.Rd. 223 (BNSF), Chariton Co.	2. MO96 (KCS), Jasper Co.
3. E. 5th Street (BNSF), Linn Co.	3. MO& (BNSF), Jackson Pulaski Co.
4. Shimmel St. (UP), Cass Co.	4. US 160 (BNSF), Barton Co.
5. Mill Rd. (KCS), McDonald Co.	5. Lone Pine (KCS), Cass Co.
6. MO 94 (NS), St.Charles Co.	6. Italian Way (IMRC), Clay Co.

Each model was then applied using available data. The output of each model was either a predicted expected number of accidents or a hazard index. In all cases, the higher this output, the higher the ranking allotted to that particular crossing. Thus for each category of crossing control, the six models each produced a predicted ranking set. Comparing the predictions with the baseline ranking set determines the accuracy of the model.

For the purpose of the current study, a correlation measure between the predicted and ‘true’ rankings was used to determine the accuracy of the model. The Spearman rank correlation coefficient factor served as this measure of correlation because it is a statistical method for comparing the rankings of two data sets. For this study only, it is calculated as:

$$r_s = \frac{\sum(P_i - 3.5)\sum(B_i - 3.5)}{\sqrt{\sum(P_i - 3.5)^2 \sum(B_i - 3.5)^2}}$$

Where

- P_i = the predicted rankings
- B_i = the baseline ranking
- r_s = correlation value

The range of this correlation factor (r_s) is -1 to +1. The upper limit of this range indicates maximum correlation between the two sets of data. In this case, +1 indicates perfect correlation between a model's predicted ranking set and the baseline ranking set. The lower limit of this range, -1, indicates perfect negative correlation between the two data sets. The performance measure for accuracy was assumed to be a linear function of the correlation value. To accentuate the degree of correlation between a predicted set of rankings and the expert rankings, the correlation value obtained by a model was multiplied by 5. For example, if a model's correlation value is 0.25, the converted value will be:

$$\text{Converted value} = 0.25 \times 5 = 1.25$$

MoDOT provided all the available information about the above mentioned crossings. Some of the selected models required more information than was available. In such cases adjustments were made. These adjustments are listed in Table 4-8.

Table 4-8 Adjustments Made for Unavailable Data Variables

Model	Unavailable variables	Adjustment	Result of Adjustment
Accident Prediction Model	Daytime trains	Calculations were made assuming that 100%, 75%, 50% of the given trains in a day are trains during daytime	This assumption did not lead to any difference in the predicted number of accidents.
Modified New Hampshire Formula	Number of quadrants sight is restricted from	Calculations were made assuming all three cases-sight obstruction from no quadrant, from one, and from more than one quadrant	No difference in the hazard indices produced.
Kansas's Design Hazard Formula	Sight distance all four ways	Model run assuming one quadrant has the 'actual' SD and others have the required sight distance.	Cannot say unless sight distance information is available.

4.4.2 Explainability

A model which does an excellent job with 'correctly' ranking crossings for improvement also needs to be explainable. The state DOT should be able to approach the interested public with the model and defend/explain it. Among the models discussed in Section 2, some models appear complicated and difficult to understand. The variables used are not easy to understand for a layperson with no knowledge in the field.

Each model was evaluated for its 'explainability' by the research team and rated using a scale of 0-5. Here, '0' is allotted to the least explainable model and '5' allotted to the model that is most explainable.

Explainability is a good thing to have in a model. So while calculating the final index of a model, this factor is an additive value. The higher a model scored on the 0-5 scale, the more contribution this factor has to the final index.

4.4.3 Number of difficult variables.

Various models have different variables as input. Variables that are traditionally associated with grade crossings, like sight distance, train and highway traffic etc. are collected with no extra effort, costs, use of personnel. However, some data are very difficult to collect such as average speeds of vehicles and trains. Consequently, models which require data that are expensive or otherwise difficult to obtain are less desirable. The research determined the number of such difficult variables in each model. This number was normalized to a scale of 0-5. An example of the normalization process is shown below:

Example: If the number of difficult variables in model A is 3 and the largest number of difficult variables in any model in the set of models for evaluation is 4, then the normalized value for model A is:

$$\text{Normalized value of difficult variables for model A} = \frac{3}{4} \times 5 = 3.75$$

It is obvious that it would be undesirable to have too many ‘difficult’ variables in a model. Hence the presence of too many difficult variables has a negative effect on the final index. Higher the number of difficult variables, greater its contribution in the decrease of the final index.

4.4.4 Number of total variables

A model’s simplicity is correlated with the number of variables it relies upon. More complex models are harder to explain and also more expensive because of resources needed to

collect the necessary data. So the more number of variables in a model, the more time, money and cost it would take to implement the model. Hence this factor was included as a subtractive factor in the final index. That means that the greater the number of total variables, the lower the final index.

The number of total variables of each model was determined. This number was normalized to a scale of 0-5. The upper limit of this range indicated the maximum number of total variables and the lower limit indicated the minimum number of total variables. The process of normalization is explained using an example.

Example: Assume model A has a total number of 5 variables. Also assume model B has the largest number of total variables, which is 7. Since this score has to be normalized to a scale of 0-5, the normalized value of the total number of variables of model A is:

$$\text{Normalized value} = \frac{5}{7} \times 5 = 3.$$

4.4.5 Number of unavailable variables

Some of the models studied required data that was not collected in Missouri. Earlier, we discussed the adjustments made by the research to compensate for this dilemma. However, an additional impact is that if such a model is adopted in Missouri, then there will be additional expense associated with collecting this new data. To include the effect of this cost on the choice of a model, a negative weight is associated with this criterion.

Each model was checked with the Missouri's database for 'unavailable' data variables. The number of 'unavailable' data variables in each model was recorded. This number was normalized to a scale of 0-5. The process was conducted as follows:

Example: Assume model A has 3 ‘unavailable’ variables. Also assume model B has the highest number of difficult variables, which is 4. Since this score has to be normalized to a scale of 0-5, the normalized value of the number of ‘unavailable’ variables of model A is:

$$\text{Normalized value} = \frac{3}{4} \times 5 = 3.75$$

This normalized value is associated with a negative sign in the calculation of the final index.

4.4.6 Inclusion of crossing control type

One of the key attributes identified by the workshop participants was the ability to have models that account for the existing type of control whether that be passive control or flashing lights. Each model was checked for inclusion of crossing control type. The only two possibilities are 1) the model has a variable that accounts for crossing control type or 2) the model does not have a variable that accounts for crossing control type. This measure was allotted a ‘0’ and ‘5’ value for non-inclusion and inclusion of crossing control type respectively. This measure is an additive value. The higher a model scores on this measure, the greater the final index.

4.4.7 Inclusion of weighting factors

Every variable in a model does not necessarily have the same effect on the hazardousness of a crossing. For this reason, some models weigh the variables that are used as input. Variables that are thought to have a higher effect on the hazardousness of a crossing are weighed more heavily than others that do not have the same effect. This is not the case with all models. There exist models that do not have any weighting factors for the variables used as input.

Each model was checked for the inclusion of weighting factors of its variables. Based on this information, a value of ‘0’ or ‘5’ is allotted for this measure. ‘0’ indicates that the model does not include weighting factors and ‘5’ indicates that the model includes weighting factors. This measure is an additive value in calculating the final index.

4.4.8 Number of Key Variables.

The expert panel defined a set of ‘key’ variables that should ideally exist in the ‘best’ model. Here, ‘key’ means important and significant in determining the hazardousness of a crossing. The list of ‘key’ variables recommended by the expert panel is as follows:

- Annual Daily Traffic (ADT)
- Number of Passenger trains
- Stopping Sight Distance vs. Recommended Sight Distance
- Approach Sight Distance vs. Recommended Sight Distance
- Speed of train
- Total number of trains
- Speed of highway traffic
- Number of quadrants sight is restricted from
- Clearance time

Based on the list shown above, each model was checked for the number of ‘key’ variables it included. This number was normalized to a scale of 0-5. The process of normalization was carried out as follows:

Example: Assume model A has 2 ‘key’ variables. Also assume model B, which has the maximum number of key variables of 4, of all the evaluated models. Since this value is normalized on a 0-5 scale, the normalized value of the key variables of model A is as follows:

$$\text{Normalized value} = \frac{2}{4} \times 5 = 2.5$$

Since it is a good thing to have a higher number of the suggested ‘key’ variables in a model, this measure was considered as an additive value. The higher the number of ‘key’ variables included in a model, the higher its effect on the final index.

4.4.9 Computation of Final Index

A method that is popularly known as “goals and objectives matrix” method was selected to calculate the ‘performance’ of one model in comparison to the others. In the current study, the ‘performance’ or ‘suitability’ of a model (alternative) is determined by a ‘final index’. The final index is calculated using all the eight evaluation criteria defined by the expert panel. A weighted score model was used for this purpose. The final index was calculated for each model using the sum of the product of the score of the model for each measure and the weight of the measure:

$$FinalIndex = \sum_{i=1}^8 W_i \times S_i$$

Where

W_i = Weight of measure i from Each criterion was weighted by the expert panel. In order to obtain weights of each of the evaluation criteria, one thousand dollars of play money was given by the research team to each expert and he/she was asked to allot money to each criterion based on its importance. The proportions of money allotted by all the members of the expert panel was summed up and the average proportion allotted to each variable was normalized on a 0-1 scale. Table 4-6 displays the weights of each of the measures against the corresponding measure and Appendix II shows the money value attached by each participant to each measure.

Table 4-6

S_i = Score for model of measure i

The reader might recall that some of the measures were subtractive, while others were treated as subtractive values based on its nature. The final index, calculated as mentioned above, indicates the performance of the model in satisfying the evaluation criteria. The higher the final index, the better the performance of the model

4.5 DATA REQUIREMENTS

As described in Section 4.2, the expert panel identified several data-related characteristics of a model as being desirable or undesirable. Specifically, the panel identified the number of “difficult” variables in the model, the number of “key” variables in the model, the number of unavailable variables, and the number of total variables as four of the eight criteria for model evaluation. These criteria were weighted, as described in Section 4.3, and evaluated for each model, as described in Section 4.4.

Data requirements for a model are significant because of the staff time and expertise required to collect and maintain the data. Greater levels of effort require greater financial commitments. In some cases, greater data requirements for a model may reflect its superior decision support capability. However, in many cases, the additional funding required for data collection and maintenance is not reflected in the quality of the final decision. In assessing the data requirements for MoDOT’s existing exposure index and the recommended method, primary consideration was given to the characteristics identified by the expert panel.

5 RESULTS AND DISCUSSION

The next step was to apply the evaluation framework to the seven models under study. From the preliminary results an eighth model that is a combination of the EI and Kansas Design Hazard Rating formulas was identified for further study.

5.1 MODIFIED EI

The modified EI model mainly uses the Kansas Design Hazard Rating formula with one change. We substituted the value TI from the EI for the value of A in the Kansas formula.

Consequently, the revised formula is given by:

$$HR = \frac{A \times (B + C + D)}{4}$$

Where

$$A = VM \times VS [(FM \times FS) + (PM \times PS) + (SM \times 10)]$$

$$B = 2 \times 3 \sqrt{\frac{8000}{\text{sum of max sight distance 4ways}}}$$

$$C = \sqrt{\frac{90}{\text{Angle of Intersection}}}$$

D= value from Table 4-5

5.2 RANKINGS OF MODELS BASED ON FINAL INDEX

Table 5-1 and Table 5-2 show the final index values for the eight models considering both Passive and Active upgrades.

Table 5-1 Final Indices for Passive Upgrades

Models	Final Index	Rankings
Accident Prediction Model	1.02	4
California's HI	1.95	1
Connecticut's HI	0.18	6
Modified New Hampshire	1.24	3
Kansas's Design Hazard	0.521	5
Exposure Index	-0.36	8
Illinois's Modified EAF	1.73	2
Modified EI	-0.35	7

Table 5-2 Final Indices for Active Upgrades

Models	Final Index	Rankings
Accident Prediction Model	0.16	6
California's HI	-0.25	8
Connecticut's HI	1.64	3
Modified New Hampshire	-0.005	7
Kansas's Design Hazard	1.741	2
Exposure Index	1.33	4
Illinois's Modified EAF	2.09	1
Modified EI	0.87	5

5.3 EXPERT PANEL REVIEW OF FINDINGS

After developing the final indices for the eight models, a second workshop with the expert panel was conducted. During this second workshop, the eight models reviewed and the evaluation framework were presented to the participants. In addition, the final rankings of the eight models were presented (Table 5-3). At the end of the workshop, the panel was asked to select a course of action.

Table 5-3 Summary of Results

Crossing Control Type	Model with ranking
Passive	1. California's Hazard Index
	2. Illinois's Expected Accident Frequency Formula (EAF)
	3. Modified New Hampshire Formula
	4. Accident Prediction Formula
	5. Kansas's Design Hazard Rating
	6. Connecticut's Hazard Index
	7. Modified EI
	8. Exposure Index
Active	1. Illinois's Expected Accident Frequency Formula (EAF)
	2. Kansas's Design Hazard Rating
	3. Connecticut's Hazard Index
	4. Exposure Index Formula
	5. Modified EI
	6. Accident Prediction Formula
	7. Modified New Hampshire Formula
	8. California's Hazard Index

The expert panel determined that, based on the relative rankings and because of its incorporation of sight distance along all 4 quadrants, the Kansas Design Hazard Rating warranted further consideration. Specifically, the research team was asked to:

- Examine the potential change in rank if sight distance data for all four quadrants were collected.
- Identify possible alterations of the sight distance factor formula to improve the performance of the Kansas model in matching the baseline rankings.

5.3.1 Data collection of sight distance information

Recall that one of the weaknesses of the Kansas model was that the Missouri databases did not have sight distance for all 4 quadrants. To address this weakness, each of the 12 sites

(Appendix I) in our study was visited and sight distance information for both the approach (ASD) and stop-line (SLSD) sight distances was collected. Figure 5-1 and Figure 5-2 show what we mean by approach and stop-line sight distance respectively

Figure 5-1: Approach Sight Distance

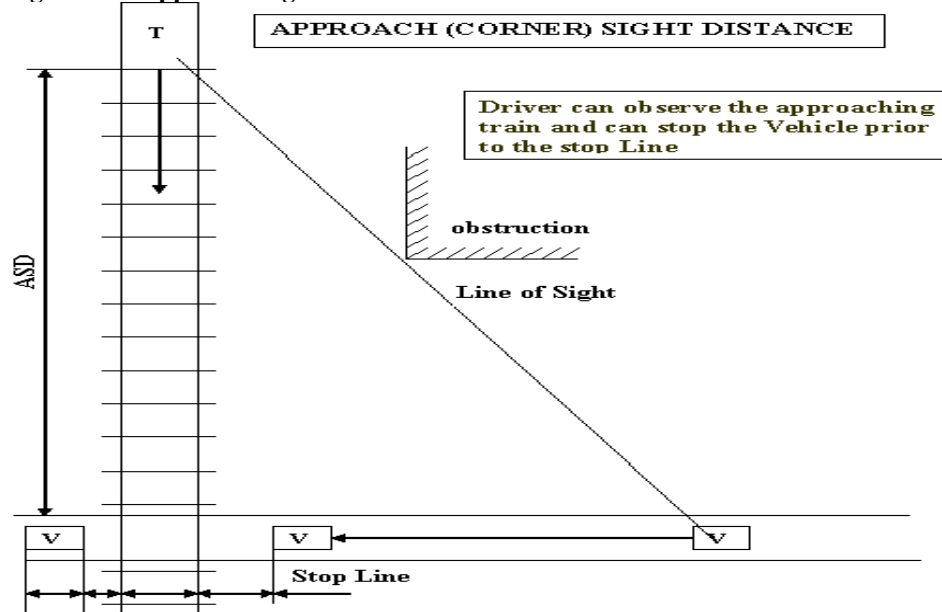
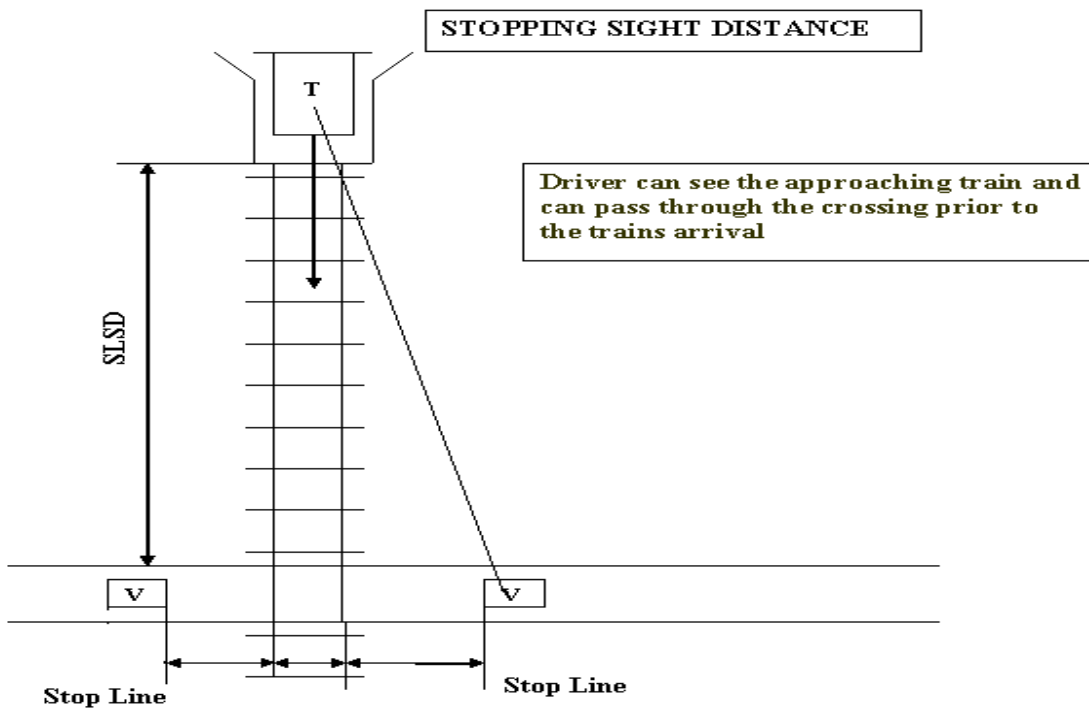


Figure 5-2: Stopping Sight Distance



In addition to the field measurements, the required sight distances for both approach and stop-line sight distance were determined based the data in the table provided on the MoDOT Grade Crossing Survey Form (MO 419-0253 of May 1988)(see Appendix V).

An analysis of the approach sight distance for the study sites shows that

- 18 out of 24 quadrants in the passive upgrade crossings have less than the required sight distance
- 15 out of 24 quadrants in the active upgrade crossings have less than the required sight distance

A similar analysis of the stop-line sight distance for the study sites shows that

- 8 out of 24 quadrants in the passive upgrade crossings have less than the required sight distance
- 9 out of 24 quadrants in the active upgrade crossings have less than the required sight distance

5.3.2 Kansas Formula Accuracy

The first step in our analysis was to recalculate the Kansas Hazard ratings for our 12 sites and re-rank them. Based on these new rankings, we recalculated the correlation values. Table 5-4 and Table 5-5 show the baseline rankings, the rankings with data for 1 quadrant and the rankings with data for all 4 quadrants. The net result was a decrease in correlation.

Table 5-4: Rankings for Passive Upgrade Crossings

County	Baseline Rankings	Rankings with worst quadrant	Rankings with 4 quadrants of data
Cole	1	2	3
Chariton	2	5	5
Linn	3	3	2
Cass	4	4	4
McDonald	5	1	1
St.Charles	6	6	6
Correlation Value		0.257	0.14285

Table 5-5: Rankings for Active (Flashing Lights) Upgrades

County	Baseline Rankings	Rankings with worst quadrant	Rankings with 4 quadrants of data
Barry	1	1	2
Jasper	2	4	4
Jackson	3	2	1
Barton	4	3	3
Cass	5	5	5
Clay	6	6	6
Correlation Values		0.8285	0.714286

With calculation of the correlation values, we were able to re-calculate the final indices for the Kansas model and re-evaluate the model's performance with respect to the other models in our study. Table 5-6 and Table 5-7 show the final index values for the worst quadrant and all 4 quadrants cases for passive and active upgrades respectively. While the indices for the Kansas model dropped with complete information, the relative ranking did not.

Table 5-6 Model Indices for Passive Upgrades

Models	Final Index Worst quadrant	Rankings	Final Index-4 quadrants	Rankings
Accident Prediction Model	1.02	4	1.02	4
California's HI	1.95	1	1.95	1
Connecticut's HI	0.18	6	0.18	6
Modified New Hampshire	1.24	3	1.24	3
Kansas's Design Hazard	0.52	5	0.27738	5
Exposure Index	-0.36	8	-0.36	7
Illinois's Modified EAF	1.73	2	1.73	2
Modified EI	-0.35	7	-0.35	8

Table 5-7 Model Indices for Active Upgrades

Models	Final Index Worst quadrant	Rankings	Final Index-4 quadrants	Rankings
Accident Prediction Model	0.16	6	0.16	6
California's HI	-0.25	8	-0.25	8
Connecticut's HI	1.64	3	1.64	2
Modified New Hampshire	-0.005	7	-0.005	7
Kansas's Design Hazard	1.74	2	1.49749	3
Exposure Index	1.33	4	1.33	4
Illinois's Modified EAF	2.09	1	2.09	1
Modified EI	0.87	5	0.87	5

5.3.3 Reformulation of the distance factor

Having established that the Kansas Model did not perform significantly with a better data set, we proceeded to examine possible reformulations of the sight distance factor. In particular, we focused on two types of changes. First we looked at alternative forms of the ratio under the radical. Second we looked at altering the multiplication factor and the root power.

5.3.3.1 Sight Distance Ratio

Six formulas that utilize the measured and required approach and stop line sight distances were developed and evaluated. They are:

$$B = 2 \times 3 \sqrt[3]{\frac{8000}{\text{sum of Approach Sight distance ASD}}} \quad (\text{Equation I})$$

$$B = 2 \times 3 \sqrt[3]{\frac{8000}{\text{sum of stop line Sight distance SLSD}}} \quad (\text{Equation II})$$

$$B = 2 \times 3 \sqrt[3]{\frac{\sum \text{Required Approach Sight distance (Req.ASD)}}{\sum \text{Actual Approach Sight distance (ASD)}}} \quad (\text{Equation III})$$

$$B = 2 \times 3 \sqrt{\frac{\sum \text{Required Stop Line Sight distance (Req. SLSD)}}{\sum \text{Actual Stop Line Sight distance SLSD}}} \quad (\text{Equation IV})$$

$$B = 2 \times 3 \sqrt{\left(\frac{8000}{\text{sum of ASD}} + \frac{8000}{\text{sum of SSD}} \right)} \quad (\text{Equation V})$$

$$B = 2 \times 3 \sqrt{\left(\frac{\sum \text{Required ASD}}{\sum \text{Actual ASD}} + \frac{\sum \text{Required SSD}}{\sum \text{Actual SSD}} \right)} \quad (\text{Equation VI})$$

Since the impact of the reformulation only affects the hazard ratings, the criteria for selecting the best formula is the Spearman rank correlation coefficient. The formula that provides the closest match to the baseline rankings should be considered the best choice. Table 5-8 and Table 5-9 show the results of this analysis for both the passive and active upgrades. All 6 formulas perform well for the active upgrades with a relatively high correlation. For the passive upgrades, the approach sight distances perform the best. However, the actual correlations for these models are low. Based on these results there does not appear to be a reasonable basis for altering the ratio formula.

Table 5-8 Impacts of different ratios on passive upgrades-Correlation Values

County	Hazard Ratings						Rankings by equations						Base Rankings
	HR 1	HR 2	HR 3	HR 4	HR 5	HR 6	I	II	III	IV	V	VI	
Cole	15.5	9.146	8.954	6.858	15.8	12.029	3	4	3	4	3	3	1
Chariton	2.7	1.926	1.856	1.631	2.77	2.433	5	5	5	5	5	5	2
Linn	18.7	17.82	14.53	15.06	20.4	19.602	2	2	2	2	2	2	3
Cass	13.8	10.67	8.649	8.182	14.5	11.702	4	3	4	3	4	4	4
McDonald	84.6	57.82	48.33	42.8	87.2	63.813	1	1	1	1	1	1	5
St.Charles	0	0	0	0	0	0	6	6	6	6	6	6	6
CORRELATION VALUES							0.1428	-0.028	0.1428	-0.028	0.1428	0.1428	

Table 5-9 Impacts of different ratios on Active upgrades -Correlation Values

County	Hazard Ratings						Rankings by equations						Base Rankings
	HR 1	HR 2	HR 3	HR 4	HR 5	HR 6	I	II	III	IV	V	VI	
Barry	251	214	153.2	155.6	271	214.79	2	1	2	2	1	2	1
Jasper	163	110.8	106.4	90.12	166	133.76	4	4	4	4	4	4	2
Jackson	252	194.9	171.4	157.3	261	218.94	1	2	1	1	2	1	3
Barton	183	152.2	124.4	120.1	194	166.33	3	3	3	3	3	3	4
Cass	104	63.27	59.95	49.08	105	77.616	5	5	5	5	5	5	5
Clay	67.8	50.31	41.69	38.43	70.6	55.52	6	6	6	6	6	6	6
CORRELATION VALUES							0.7142	0.8285	0.71428	0.71428	0.8285	0.7142	

5.3.4 Reformulation of the multiplication and root factors

The multiplication factor was varied from 1 to 3 and the root power was varied from 1 to 3. In addition, we examined the impacts on all four formulas of the ratio just in case there was a combination effect. Consequently, several tables were generated of correlations. All of these have been included in the appendix.

Based on our analysis, it appears that changing the multiplication factor while holding the root power constant does not affect the rankings of the sites. Therefore the issue becomes what root power to use.

For passive upgrades Equation 2 with a root power of 2 (i.e. square root) and Equation 3 with a root power of 1 (i.e. straight ratio of required approach sight distance to actual approach sight distance) provide the highest correlation of .2571 as compared to .1429 for the current formulation.

For the active upgrades, the highest correlation is achieved by using the ratio of 8000 divided by the sum of the approach sight distances. This results in a correlation of .94. With the exception of this single case, the variation of root power and multiplication factor have no impact on the rankings of the active upgrade crossings.

5.3.5 Summary of Sensitivity Analyses

Based on our analyses, we find that:

- Approach sight Distance tends to rank better than stopline sight distance for the passive crossings.
- Stop line Sight distance tends to rank slightly better for Active Crossings than approach sight distance. However the difference is small
- Rankings for both passive and active upgrades are not affected by changing the multiplication factor.

Based on the correlations, we recommend that the ratio of 8000 divided by the sum of the approach sight distances be retained. We further recommend that the multiplication factor of 2 be retained. However, we would suggest changing the root power to a square root as opposed to a cubic root. Therefore, the sight distance factor might be calculated as:

$$B = 2 \times \sqrt{\frac{8000}{\sum \text{approach sight distances}}}$$

Table 5-10 and Table 5-11 show the impact of this change on the rankings; Table 5-12 and Table 5-13 show the impact on final indices.

Given the small sample size associated with the baseline rankings, the results of our analysis are not conclusive. Therefore, our findings should be tempered with judgment and more research conducted using larger sample for the baseline rankings.

Table 5-10 Rankings for Passive Upgrade Crossings

County	Baseline Rankings	Rankings with Cube root	Rankings with Square root
Cole	1	3	2
Chariton	2	5	5
Linn	3	2	3
Cass	4	4	4
McDonald	5	1	1
St.Charles	6	6	6
Correlation Value		0.1428	0.257

Table 5-11 Rankings for Active upgrades

County	Baseline Rankings	Rankings with cube root	Rankings with Square root
Barry	1	2	1
Jasper	2	4	4
Jackson	3	1	2
Barton	4	3	3
Cass	5	5	5
Clay	6	6	6
Correlation Values		0.71428	0.8285

Table 5-12 Model Indices of Passive Upgrades

Models	Final Index – cube root	Ranking	Final Index– square root	Ranking
Accident Prediction Model	1.02	4	1.02	4
California's HI	1.95	1	1.95	1
Connecticut's HI	0.18	6	0.18	6
Modified New Hampshire	1.24	3	1.24	3
Kansas's Design Hazard	0.5274	5	0.771	5
Exposure Index	-0.36	8	-0.36	8
Illinois's Modified EAF	1.73	2	1.73	2
Modified EI	-0.35	7	-0.35	7

Table 5-13 Final Indices for Active upgrades

Models	Final Index– cube root	Ranking	Final Index – square root	Ranking
Accident Prediction Model	0.16	6	0.16	6
California's HI	-0.25	8	-0.25	8
Connecticut's HI	1.64	3	1.64	3
Modified New Hampshire	-0.005	7	-0.005	7
Kansas's Design Hazard	1.7475	2	1.9915	2
Exposure Index	1.33	4	1.33	4
Illinois's Modified EAF	2.09	1	2.09	1
Modified EI	0.87	5	0.87	5

5.4 DATA REQUIREMENTS

The “key” variables identified by the expert panel vary in their level of availability and collection difficulty:

- Annual Daily Traffic. Vehicle movements are currently included in Missouri’s crossing inventory.
- Approach Sight Distance vs. Recommended Sight Distance. The approach sight distance and recommended sight distance for the most restricted quadrant are already included in Missouri’s crossing inventory. Gathering this information for each quadrant would require a field visit to each of the 4000 crossings in the state.
- Stopping Sight Distance vs. Recommended Sight Distance. The stopping sight distance and recommended stopping sight distance are not currently included in Missouri’s crossing inventory. Gathering this information would require a field visit to each of the 4000 crossings in the state.
- Speed of Train. The speed of trains is provided by the railroads and is currently included in Missouri’s crossing inventory.
- Number of passenger trains. The number of passenger trains is provided by the railroads and is currently included in Missouri’s crossing inventory.
- Speed of highway traffic. The speed of highway traffic is currently included in Missouri’s crossing inventory.
- Total number of trains. The total number of trains can be obtained from Missouri’s current crossing inventory by summing the numbers of freight and passenger trains.
- Clearance time. The time taken by the motorist to clear the crossing can be obtained from the vehicle speeds, which were not identified as a key variable but are included in Missouri’s crossing inventory, and the width of the crossing. The width of the crossing could either be measured in the field, which would require trips to all 4000 crossings in the state, or approximated by the number of tracks, which is currently included in Missouri’s crossing inventory.

The number of key variable in each model was accounted for as described in Section 4.4.8. In addition, the list above demonstrates that obtaining those key variables that are not currently

included in Missouri's crossing inventory would require field visits to all crossings in the state of Missouri.

The following sections discuss the data requirements for the EI as it currently is used and the Kansas Design Hazard rating formula, both as it exists and as it could be modified, respectively. As MoDOT and MCRS decide whether to change models, they should consider carefully the data-related issues as outlined in the following sections.

5.4.1 Missouri's Exposure Index

Missouri's EI requires the following data:

- Vehicle movements (AADT)
- Vehicle speeds
- Number of passenger trains
- Average speed of passenger trains
- Number of freight trains
- Average speed of freight trains
- Switching movements
- Required sight distance for the worst quadrant
- Actual sight distance for the worst quadrant

All of these data are currently available in Missouri's crossing inventory. Those items related to trains themselves are provided by the railroads; other items are collected and maintained by MoDOT and MCRS. While the total number of variables is large in comparison to some of the other models investigated, the EI obviously does not include any "difficult" or unavailable variables. Data-related costs for the EI include staff time for updating the database. All variables are dynamic (that is, they change over time), but the changes are predictable and

identifiable, so updating is relatively straightforward.

5.4.2 Kansas Design Hazard Rating Formula

The research team, as directed by the expert panel, has investigated Kansas' Design Hazard Rating Formula in detail. As the model is currently used by Kansas, it requires some data that currently are maintained in Missouri's crossing inventory and some that are not.

- Number of vehicles. The vehicle movements currently are included in Missouri's crossing inventory.
- Number of fast trains. The number of fast trains currently is not included explicitly in Missouri's crossing inventory.
- Number of slow trains. The number of slow trains currently is not included explicitly in Missouri's crossing inventory.
- Angle of intersection between road and tracks. The angle of intersection between the road and the tracks currently is recorded in Missouri's crossing inventory as a range.
- Sight distance in all four quadrants. The approach sight distance for the most restricted quadrant currently is recorded in Missouri's crossing inventory.
- Number of main tracks. The number of main tracks currently is included in Missouri's crossing inventory.

Of the variables listed, only number of vehicles and number of main tracks are directly accessible. However, the range of difficulty associated with the other data varies.

- Number of fast trains and number of slow trains. In the evaluation performed for this study, the numbers of passenger trains and freight trains were substituted as proxies for the numbers of fast and slow trains. MoDOT representatives believe that this is a reasonable approximation. Actual data could be obtained from the railroads.

- Angle of intersection between road and tracks. Because the angle of intersection is given as a range, the conservative approach (and the approach that was used in this evaluation) is to use the lower limit. The angle can be measured in the field relatively easily, but this is probably warranted only if other data are also needed.
- Sight distance in all four quadrants. Section 5.3 describes the various approaches used to account for sight distance, including using only the data currently available and using complete data as collected in the field. For our limited set of sites, the correlations between the expert rankings and model rankings actually dropped when complete data were used. This suggests that it may be appropriate to focus on the quadrant with the most restricted sight distance as opposed to all of the sight distances. Measuring sight distance in the field for all 4000 crossings would require significant resource commitments. For example, for the sights surveyed as part of this study, the average time spent on site was 2 hours, and the measurements required two people. If travel time is neglected, these figures suggest that 16,000 person hours would be required to collect the data.

6 CONCLUSIONS

This study evaluated the effectiveness of the EI formula and examined the possibility of adoption of an alternative formula for use in Missouri for prioritizing crossings for safety improvements.

A list of models used by different states to prioritize rail-highway grade crossings was assembled. The source of this list is a report produced by the University of Illinois in September 2000 (Elzohairy and Benekohal, 2000). Seven models, which are generally used by most of the states, were selected for study.

Following the identification of models for study, a panel of officials associated with MoDOT, the U.S. Department of Transportation, and Railroad Companies was assembled to participate in a one day workshop. The panel was asked to address several questions: At the end of the workshop, eight criteria, along with their relative importance, were identified that could be used to select a “best” model. These criteria and their associated weights were combined into an index value that was then used to rank the models.

The most important criterion was the accuracy of each model in predicting the ranking of crossings in Missouri. In order to assess this performance, data were obtained for 12 representative crossings (6 with passive control and 6 with flashing lights) across the state from the Missouri Crossing Inventory MoDOT staff then ranked these sites within each category to establish baseline rankings. The ability of each of the models under consideration to replicate these baseline rankings was quantified with a simple Spearman rank correlation coefficient.

After the models were analyzed and final indices developed, the panel of experts was assembled again to review and select a potential replacement model for the EI. In preparation for this second workshop, a modification of the EI formula was also developed. At the end of this second workshop, the panel recommended the research team conduct sensitivity analyses on

modifying the Kansas Design Hazard Rating model for possible use in Missouri. Subsequent analyses were inconclusive in determining potential modifications to the Kansas Model.

7 RECOMMENDATIONS

- We find sufficient cause to consider replacing the EI as the tool used in Missouri to prioritize highway rail crossing for engineering review.
- The Kansas Design Hazard rating formula shows potential as the possible replacement for the EI.
- Additional research is needed to determine the how the Kansas Model should be adapted to Missouri. In particular, a larger sample of baseline rankings needs to be developed.
- In analyzing the larger set of baseline rankings, particular attention should be given to the consequences using the existing data rather than gathering a complete data set. Serious consideration should be given to the resources available for additional data collection and maintenance.

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10 AFFECTED BUSINESS UNITS AND PRINCIPAL CONTACT

Multimodal Operations

Transportation Planning

Research, Development, and Technology

Districts

APPENDIX I: WORKSHOP ATTENDEES

LIST OF ATTENDEES FOR THE WORKSHOP

NAME	ORGANISATION
Gary Spring	University of Missouri – Rolla
Mohammad Qureshi	University of Missouri – Rolla
David Mc Kernan	Union Pacific RR
John Freise	Union Pacific RR
Ernie Perry	MODOT
Garry W. Viebrock	MCRS
Jennifer Thompson	MODOT
Bruce Chinn	MCRS
Gene Stephens	MCRS
Allen Kuhn	BNSF Ry Co
Mark Virkler	University of Missouri-Columbia
Kristen Sanford Bernhardt	Lafayette College
Venkat Chilukuri	University of Missouri-Columbia
Mark Zacher	MODOT
Bennie Howe	FRA
Sindhu Avalokita	University of Missouri – Rolla

APPENDIX II: WEIGHTS OF MEASURES FROM EXPERT PANEL

Weights of Measures

Criteria defined by the expert panel for evaluating the models were

- I. Accuracy of the model
- II. Number of difficult variables in the model
- III. Explain ability
- IV. Number of key variables
- V. Inclusion of crossing type
- VI. Number of unavailable data variables
- VII. Number of total variables
- VIII. Inclusion of weighting factors

Dollars Assigned to Measures									
Individual	I	II	III	IV	V	VI	VII	VIII	SUM
1	455	45	45	45	91	182	136	0	1000
2	600	25	50	25	50	100	100	50	1000
3	100	200	75	50	300	75	50	150	1000
4	600	33	33	33	200	100	0	0	1000
5	500	0	0	0	100	100	0	300	1000
6	600	100	20	20	200	20	20	20	1000
7	500	0	100	50	50	100	200	0	1000
8	250	50	50	50	200	200	100	100	1000
9	300	100	100	100	150	100	0	150	1000
10	100	100	50	200	100	200	200	50	1000
11	400	200	200	100	50	25	12.5	12.5	1000
12	545	136	0	136	45	0	0	136	1000
13	600	75	75	75	75	0	50	50	1000
SUM	5550	1064	798	884	1611	1202	868.5	1018.5	13000

**APPENDIX III: MISSOURI CROSSING INVENTORY
12 SITES SELECTED FOR ANALYSIS**

MISSOURI CROSSING INVENTORY

Table 0-1 Passive Crossings

USDOT #	County	City	Road Name/ Near Location	TYPE	Base Ranking	Railroad
442252 W	COLE	CENTERTOWN	Md Stage Road	Passive	1	UP
005263 F	CHARITON	MARCELINE	CO RD 223	Passive	2	BNSF
005129 U	LINN	BUCKLIN	East 5 th	Passive	3	BNSF
442003 R	CASS	STRASBURG	Shimel	Passive	4	UP
330157 R	MC DONALD	ANDERSON	Mill Road	Passive	5	KCS
483487 U	ST CHARLES	ST CHARLES	Mo 94, N Second	Passive	6	NS

Table 0-2 Active Crossings

USDOT #	County	City	Road Name/ Near Location	TYPE	Base Ranking	Railroad
668316 H	BARRY	MONETT	Eisenhower	Active	1	BNSF
330031 J	JASPER	WACO	Mo 90,Near Asbury	Active	2	KCS
442281 G	JACKSON	BLUE VALLEY	Near Lake city	Active	3	UP
669023 P	BARTON	GOLDEN CITY	Golden city	Active	4	BNSF
329836 H	CASS	CLEVELAND	Lone pine	Active	5	KCS
375440 C	CLAY	EXCELSIOR SPRING	Italian way	Active	6	IMRL

APPENDIX IV: EVALUATION OF MODELS CALCULATION OF FINAL INDEX

1 ACCIDENT PREDICTION MODEL

Table 0-1 Predicted Rankings vs. Expert Rankings for Crossings with Passive Control

Sites	Expert Ranking	Predicted Ranking
Md Stage Rd (UP) Cole Co.	1	4
Co.Rd. 223 (BNSF) Chariton Co.	2	3
E. 5 th Street (BNSF) Linn Co.	3	1
Shimmel St. (UP) Cass Co.	4	5
Mill Rd. (KCS) McDonald Co.	5	2
MO 94 (NS) St.Charles Co.	6	6

Table 0-2 Predicted Rankings vs. Expert Rankings for Crossings with Active Control

Sites	Expert Ranking	Predicted Ranking
Eisenhoven St. (BNSF) Barry Co.	1	5
MO96 (KCS) Jasper Co.	2	3
MO& (BNSF) Jackson Pulaski Co.	3	4
US 160 (BNSF) Barton Co.	4	2
Lone Pine (KCS) Cass Co	5	1
Italian Way (IMRC) Clay Co.	6	6

Table 0-3 Un-normalized Values of the Model on Evaluation Criteria

Control type	Accuracy	Explainability	Key Variables	Difficult Variables	Crossing type	Unavailable data elements	Weighting factors	Total variables
Passive	0.31	0	3	1	5	1	5	4.00
Flashing	-0.08	0	3	1	5	1	5	4.00

Table 0-4 Accident Prediction Model's Final Index

Control type	Accuracy	Explainability	Key Variables	Difficult Variables	Crossing type	Unavailable data elements	Weighting factors	Total variables	Final Index
Weights→	0.427	0.124	0.092	0.082	0.078	0.068	0.067	0.061	
Passive	1.57	0	2.5	1.67	5.00	1.67	5	4.00	1.02
Flashing	-0.43	0	2.5	1.67	5.00	1.67	5	4.00	0.16

2 CALIFORNIA'S HAZARD INDEX

Table 0-5 Predicted Rankings vs. Expert Rankings for Crossings with Passive Control

Sites	Expert Ranking	Predicted Ranking
Md Stage Rd (UP) Cole Co.	1	4
Co.Rd. 223 (BNSF) Chariton Co.	2	1
E. 5 th Street (BNSF) Linn Co.	3	3
Shimmel St. (UP) Cass Co.	4	5
Mill Rd. (KCS) McDonald Co.	5	2
MO 94 (NS) St.Charles Co.	6	6

Table 0-6 Predicted Rankings vs. Expert Rankings for Crossings with Active Control

Sites	Expert Ranking	Predicted Ranking
Eisenhoven St. (BNSF) Barry Co.	1	6
MO96 (KCS) Jasper Co.	2	5
MO& (BNSF) Jackson Pulaski Co.	3	1
US 160 (BNSF) Barton Co.	4	3
Lone Pine (KCS) Cass Co.	5	4
Italian Way (IMRC) Clay Co.	6	2

Table 0-7 Un-normalized Values of the Model on Evaluation Criteria

Control type	Accuracy	Explainability	Key Variables	Difficult Variables	Crossing type	Unavailable data elements	Weighting factors	Total variables
Passive	0.43	5	2	0	5	0	0	3
Flashing	-0.6	5	2	0	5	0	0	3

Table 0-8 California's Hazard Index Model's Final Index

Control type	Accuracy	Explainability	Key Variables	Difficult Variables	Crossing type	Unavailable data elements	Weighting factors	Total variables	Final Index
Weights→	0.427	0.124	0.092	0.082	0.078	0.068	0.067	0.061	
Passive	2.14	5	1.67	0.00	5.00	0.00	0	1.50	1.99
Flashing	-3.00	5	1.67	0.00	5.00	0.00	0	1.50	-0.2

3 CONNECTICUT'S HAZARD INDEX

Table 0-1 Predicted Rankings vs. Expert Rankings for Crossings with Passive Crossings

Sites	Expert Ranking	Predicted Ranking
Md Stage Rd (UP) Cole Co.	1	4
Co.Rd. 223 (BNSF) Chariton Co.	2	6
E. 5 th Street (BNSF) Linn Co.	3	2
Shimmel St. (UP) Cass Co.	4	5
Mill Rd. (KCS) McDonald Co.	5	1
MO 94 (NS) St.Charles Co.	6	3

Table 0-2 Predicted Rankings vs. Expert Rankings for Crossings with Active Crossings

Sites	Expert Ranking	Predicted Ranking
Eisenhoven St. (BNSF) Barry Co.	1	5
MO96 (KCS) Jasper Co.	2	1
MO& (BNSF) Jackson Pulaski Co.	3	4
US 160 (BNSF) Barton Co.	4	3
Lone Pine (KCS) Cass Co.	5	2
Italian Way (IMRC) Clay Co.	6	6

Table 0-3 Un-normalized Values of the Model on Evaluation Criteria

Control type	Accuracy	Explainability	Key Variables	Difficult Variables	Crossing type	Unavailable data elements	Weighting factors	Total variables
Passive	-0.49	5	2	0	5	0	0	3
Flashing	0.2	5	2	0	5	0	0	3

Table 0-4 Connecticut's Hazard Index Model's Final Index

Control type	Accuracy	Explainability	Key Variables	Difficult Variables	Crossing type	Unavailable data elements	Weighting factors	Total variables	Final Index
Weights→	0.427	0.124	0.092	0.082	0.078	0.068	0.067	0.061	
Passive	-2.43	5	1.67	0.00	5.00	0.00	0	1.50	0.18
Flashing	1.00	5	1.67	0.00	5.00	0.00	0	1.50	1.64

4 MODIFIED NEW HAMPSHIRE FORMULA

Table 0-5 Predicted Rankings vs. Expert Rankings for Crossings with Passive Control

Sites	Expert Ranking	Predicted Ranking
Md Stage Rd (UP) Cole Co.	1	3
Co.Rd. 223 (BNSF) Chariton Co.	2	4
E. 5 th Street (BNSF) Linn Co.	3	1
Shimmel St. (UP) Cass Co.	4	5
Mill Rd. (KCS) McDonald Co.	5	2
MO 94 (NS) St.Charles Co.	6	5

Table 0-6 Predicted Rankings vs. Expert Rankings for Crossings with Active Control

Sites	Expert Ranking	Predicted Ranking
Eisenhoven St. (BNSF) Barry Co.	1	3
MO96 (KCS) Jasper Co.	2	3
MO& (BNSF) Jackson Pulaski Co.	3	3
US 160 (BNSF) Barton Co.	4	1
Lone Pine (KCS) Cass Co.	5	2
Italian Way(IMRC)Clay Co	6	3

Table 0-7 Un-normalized Values of the Model on Evaluation Criteria

Crossing Control type	Accuracy	Explainability	Key Variables	Difficult Variables	Crossing type	Unavailable data elements	Weighting factors	Total variables
Passive	0.26	2	4	1	5	1	0	5
Flashing	-0.32	2	4	1	5	1	0	5

Table 0-8 Modified New Hampshire's Final Index

Control type	Accuracy	Explainability	Key Variables	Difficult Variables	Crossing type	Unavailable data elements	Weighting factors	Total variables	Final Index
Weights→	0.427	0.124	0.092	0.082	0.078	0.068	0.067	0.061	
Passive	1.31	2	3.33	1.67	5.00	1.67	0	2.50	1.24
Flashing	-1.60	2	3.33	1.67	5.00	1.67	0	2.50	-0.005

5 KANSAS'S DESIGN HAZARD RATING FORMULA

Table 10-1 Predicted Rankings Vs. Expert Rankings for Crossings with Passive Control

Sites	Expert Ranking	Predicted Ranking
Md Stage Rd (UP) Cole Co.	1	4
Co.Rd. 223 (BNSF) Chariton Co.	2	5
E. 5 th Street (BNSF) Linn Co.	3	2
Shimmel St. (UP) Cass Co.	4	3
Mill Rd. (KCS) McDonald Co.	5	1
MO 94 (NS) St.Charles Co.	6	6

Table 1-2 Predicted Rankings Vs. Expert Rankings for Crossings with Active Control

Sites	Expert Ranking	Predicted Ranking
Eisenhoven St. (BNSF) Barry Co.	1	2
MO96 (KCS) Jasper Co.	2	4
MO& (BNSF) Jackson Pulaski Co.	3	1
US 160 (BNSF) Barton Co.	4	3
Lone Pine (KCS) Cass Co.	5	5
Italian Way (IMRC) Clay Co.	6	6

Table 1-3 Un-normalized Values of the Model on Evaluation Criteria

Crossing Control type	Accuracy	Explainability	Key Variables	Difficult Variables	Crossing type	Unavailable data elements	Weighting factors	Total variables
Passive	0.2571	2.5	4	3	0	3	5	5
Flashing	0.8286	2.5	4	3	0	3	5	5

Table 1-4 Kansas's Design Hazard Model's Final Index

Control type	Accuracy	Explainability	Key Variables	Difficult Variables	Crossing type	Unavailable data elements	Weighting factors	Total variables	Final Index
Weights→	0.427	0.124	0.092	0.082	0.078	0.068	0.067	0.061	
Passive	1.2855	2.5	1.67	5	0.00	5	5	2.50	0.52
Flashing	4.143	2.5	1.67	5	0.00	5	5	2.50	1.74

6 MISSOURI'S EXPOSURE INDEX FORMULA

Table 1-5 Predicted Rankings vs. Expert Rankings for Crossings with Passive Control

Sites	Expert Ranking	Predicted Ranking
Md Stage Rd (UP) Cole Co.	1	4
Co.Rd. 223 (BNSF) Chariton Co.	2	6
E. 5 th Street (BNSF) Linn Co.	3	5
Shimmel St. (UP) Cass Co.	4	2
Mill Rd. (KCS) McDonald Co.	5	1
MO 94 (NS) St.Charles Co.	6	3

Table 1-6 Predicted Rankings vs. Expert Rankings for Crossings with Active Control

Sites	Expert Ranking	Predicted Ranking
Eisenhoven St. (BNSF) Barry Co.	1	4
MO96 (KCS) Jasper Co.	2	3
MO& (BNSF) Jackson Pulaski Co.	3	1
US 160 (BNSF) Barton Co.	4	2
Lone Pine (KCS) Cass Co.	5	6
Italian Way (IMRC) Clay Co.	6	5

Table 1-7 Un-normalized Values of the Model on Evaluation Criteria

Control type	Accuracy	Explainability	Key Variables	Difficult Variables	Crossing type	Unavailable data elements	Weighting factors	Total variables
Passive	-0.66	4	6	0	5	0	0	9
Flashing	0.14	4	6	0	5	0	0	9

Table 1-8 Exposure Index's Final Index

Control type	Accuracy	Explainability	Key Variables	Difficult Variables	Crossing type	Unavailable data elements	Weighting factors	Total variables	Final Index
Weights→	0.427	0.124	0.092	0.082	0.078	0.068	0.067	0.061	
Passive	-3.29	4	5.00	0.00	5.00	0.00	0	4.50	-0.33
Flashing	0.69	4	5.00	0.00	5.00	0.00	0	4.50	1.36

7 ILLINOIS'S MODIFIED EXPECTED ACCIDENT FREQUENCY FORMULA

Table 1-9 Predicted Rankings vs. Expert Rankings for Crossings with Passive Control

Sites	Expert Ranking	Predicted Ranking
Md Stage Rd (UP) Cole Co.	1	5
Co.Rd. 223 (BNSF) Chariton Co.	2	1
E. 5 th Street (BNSF) Linn Co.	3	3
Shimmel St. (UP) Cass Co.	4	2
Mill Rd. (KCS) McDonald Co.	5	4
MO 94 (NS) St.Charles Co.	6	6

Table 1-10 Predicted Rankings vs. Expert Rankings for Crossings with Active Control

Sites	Expert Ranking	Predicted Ranking
Eisenhoven St. (BNSF) Barry Co.	1	3
MO96 (KCS) Jasper Co.	2	4
MO& (BNSF) Jackson Pulaski Co.	3	1
US 160 (BNSF) Barton Co.	4	2
Lone Pine (KCS) Cass Co.	5	5
Italian Way (IMRC) Clay Co.	6	6

Table 1-11 Un-normalized Values of the Model on Evaluation Criteria

Control type	Accuracy	Explainability	Key Variables	Difficult Variables	Crossing type	Unavailable data elements	Weighting factors	Total variables
Passive	0.37	1	3	0	5	0	5	7
Flashing	0.54	1	3	0	5	0	5	7

Table 1-12 Illinois's EAF's Final Index

Control type	Accuracy	Explainability	Key Variables	Difficult Variables	Crossing type	Unavailable data elements	Weighting factors	Total variables	Final Index
Weights→	0.427	0.124	0.092	0.082	0.078	0.068	0.067	0.061	
Passive	1.86	1	2.50	0.00	5.00	0.00	5	3.50	1.73
Flashing	2.71	1	2.50	0.00	5.00	0.00	5	3.50	2.02

**APPENDIX V: SENSITIVITY ANALYSIS:
RANKING PRODUCED BY KANSAS MODEL**

$$\text{Equation I} \quad B = X \times \sqrt[3]{\frac{8000}{\text{sum of Approach Sight distance ASD}}}$$

PASSIVE CROSSINGS - Rankings

Base Rankings	County	1	2	3	1	2	3	1	2	3	Multiplication Factor(X)
		1	1	1	2	2	2	3	3	3	Root Factor(Y)
1	Cole	2	2	2	2	2	2	3	3	3	
2	Chariton	5	5	5	5	5	5	5	5	5	
3	Linn	6	6	6	3	3	3	2	2	2	
4	Cass	3	3	3	4	4	4	4	4	4	
5	McDonald	1	1	1	1	1	1	1	1	1	
6	St.Charles	6	6	6	6	6	6	6	6	6	
CORRELATION VALUES		0.12507	0.12507	0.12507	0.143	0.2571	0.2571	0.14286	0.14286	0.14286	

ACTIVE CROSSINGS

Base Rankings	County	1	2	3	1	2	3	1	2	3	Multiplication Factor(X)
		1	1	1	2	2	2	3	3	3	Root Factor(Y)
1	Barry	1	1	1	2	1	1	2	2	2	
2	Jasper	3	6	6	4	4	4	4	4	4	
3	Jackson	2	2	2	1	2	2	1	1	1	
4	Barton	4	5	5	3	3	3	3	3	3	
5	Cass	5	3	3	5	5	5	5	5	5	
6	Clay	6	6	6	6	6	6	6	6	6	
CORRELATION VALUES		0.94286	0.71429	0.71429	0.71429	0.71429	0.71429	0.71429	0.71429	0.776	

$$\text{Equation II} \quad B = X \times \sqrt[3]{\frac{8000}{\text{sum of stop line Sight distance SLSD}}}$$

PASSIVE CROSSINGS

Base Rankings	County	1	2	3	1	2	3	1	2	3	Multiplication Factor(X)
		1	1	1	2	2	2	3	3	3	Root Factor(Y)
1	Cole	3	3	3	4	4	4	4	4	4	
2	Chariton	5	5	5	5	5	5	5	5	5	
3	Linn	2	2	2	2	2	2	2	2	2	
4	Cass	4	4	4	3	3	3	3	3	3	
5	McDonald	1	1	1	1	1	1	1	1	1	
6	St.Charles	6	6	6	6	6	6	6	6	6	
CORRELATION VALUES		0.14286	0.14286	0.14286	-0.0286	-0.0286	-0.0286	-0.0286	-0.0286	-0.0286	

ACTIVE CROSSINGS

Base Rankings	County	1	2	3	1	2	3	1	2	3	Multiplication Factor(X)
		1	1	1	2	2	2	3	3	3	Root Factor(Y)
1	Barry	1	1	1	1	1	1	1	1	1	
2	Jasper	4	4	4	4	4	4	4	4	4	
3	Jackson	2	2	2	2	2	2	2	2	2	
4	Barton	3	3	3	3	3	3	3	3	3	
5	Cass	5	5	5	5	5	5	5	5	5	
6	Clay	6	6	6	6	6	6	6	6	6	
CORRELATION VALUES		0.82857	0.82857	0.82857	0.82857	0.82857	0.82857	0.82857	0.82857	0.82857	

$$\text{Equation III } B = X \times \sqrt[n]{\frac{\sum \text{Required Approach Sight distance (Req.ASD)}}{\sum \text{Actual Approach Sight distance (ASD)}}}$$

PASSIVE CROSSINGS

Base Rankings	County	1	2	3	1	2	3	1	2	3	Multiplication Factor(X)
		1	1	1	2	2	2	3	3	3	Root Factor(Y)
1	Cole	2	2	2	3	3	3	3	3	3	
2	Chariton	5	5	5	5	5	5	5	5	5	
3	Linn	3	3	3	2	2	2	2	2	2	
4	Cass	4	4	4	4	4	4	4	4	4	
5	McDonald	1	1	1	1	1	1	1	1	1	
6	St.Charles	6	6	6	6	6	6	6	6	6	
CORRELATION VALUES		0.25714	0.25714	0.25714	0.1429	0.1429	0.1429	0.1429	0.1429	0.1429	

ACTIVE CROSSINGS

Base Rankings	County	1	2	3	1	2	3	1	2	3	Multiplication Factor(X)
		1	1	1	2	2	2	3	3	3	Root Factor(Y)
1	Barry	2	2	2	2	2	2	2	2	2	
2	Jasper	4	4	4	4	4	4	4	4	4	
3	Jackson	1	1	1	1	1	1	1	1	1	
4	Barton	3	3	3	3	3	3	3	3	3	
5	Cass	5	5	5	5	5	5	5	5	5	
6	Clay	6	6	6	6	6	6	6	6	6	
CORRELATION VALUES		0.71429	0.71429	0.71429	0.71429	0.71429	0.71429	0.71429	0.71429	0.71429	

$$\text{Equation IV } B = X \times y \sqrt{\frac{\sum \text{Required Stop Line Sight distance (Req. SLSD)}}{\sum \text{Actual Stop Line Sight distance SLSD}}}$$

PASSIVE CROSSINGS- Rankings

Base Rankings	County	1	2	3	1	2	3	1	2	3	Multiplication Factor(X)
		1	1	1	2	2	2	3	3	3	Root Factor(Y)
1	Cole	4	4	4	4	4	4	4	4	4	
2	Chariton	5	5	5	5	5	5	5	5	5	
3	Linn	2	2	2	2	2	2	2	2	2	
4	Cass	3	3	3	3	3	3	3	3	3	
5	McDonald	1	1	1	1	1	1	1	1	1	
6	St.Charles	6	6	6	6	6	6	6	6	6	
CORRELATION VALUES		-0.0286	-0.0286	-0.0286	-0.0286	-0.0286	-0.0286	-0.0286	-0.0286	-0.0286	

ACTIVE CROSSINGS -Rankings

Base Rankings	County	1	2	3	1	2	3	1	2	3	Multiplication Factor(X)
		1	1	1	2	2	2	3	3	3	Root Factor(Y)
1	Barry	1	1	1	1	1	1	2	2	1	
2	Jasper	4	4	4	4	4	4	4	4	4	
3	Jackson	2	2	2	2	2	2	1	1	2	
4	Barton	3	3	3	3	3	3	3	3	3	
5	Cass	5	5	5	5	5	5	5	5	5	
6	Clay	6	6	6	6	6	6	6	6	6	
CORRELATION VALUES		0.82857	0.82857	0.82857	0.82857	0.82857	0.82857	0.82857	0.82857	0.82857	

$$\text{Equation V} \quad B = X \times \sqrt[3]{\left(\frac{8000}{\text{sum of ASD}} + \frac{8000}{\text{sum of SSD}}\right)}$$

PASSIVE CROSSINGS - Rankings

Base Rankings	County	1	2	3	1	2	3	1	2	3	Multiplication Factor(X)
		1	1	1	2	2	2	3	3	3	Root Factor(Y)
1	Cole	2	2	2	2	2	2	3	3	3	
2	Chariton	5	5	5	5	5	5	5	5	5	
3	Linn	4	4	4	3	3	3	2	2	2	
4	Cass	3	3	3	4	4	4	4	4	4	
5	McDonald	1	1	1	1	1	1	1	1	1	
6	St.Charles	6	6	6	6	6	6	6	6	6	
CORRELATION VALUES		0.2	0.2	0.2	0.25714	0.25714	0.2571	0.1429	0.143	0.143	

ACTIVE CROSSINGS

Base Rankings	County	1	2	3	1	2	3	1	2	3	Multiplication Factor(X)
		1	1	1	2	2	2	3	3	3	Root Factor(Y)
1	Barry	1	1	1	1	1	1	1	1	1	
2	Jasper	4	5	5	4	4	4	4	4	4	
3	Jackson	2	2	2	2	2	2	2	2	2	
4	Barton	3	3	4	3	3	3	3	3	3	
5	Cass	5	4	3	5	5	5	5	5	5	
6	Clay	6	6	6	6	6	6	6	6	6	
CORRELATION VALUES		0.8286	0.657	0.6	0.82857	0.82857	0.8286	0.8286	0.829	0.829	

Equation VI

$$B = X \times \sqrt[3]{\left(\frac{\sum \text{Required ASD}}{\sum \text{Actual ASD}} + \frac{\sum \text{Required SSD}}{\sum \text{Actual SSD}} \right)}$$

PASSIVE CROSSINGS

Base Rankings	County	1	2	3	1	2	3	1	2	3	Multiplication Factor(X)
		1	1	1	2	2	2	3	3	3	Root Factor(Y)
1	Cole	2	2	2	3	3	3	4	3	3	
2	Chariton	5	5	5	5	5	5	5	5	5	
3	Linn	3	3	3	2	2	2	2	2	2	
4	Cass	4	4	4	4	4	4	3	4	4	
5	McDonald	1	1	1	1	1	1	1	1	1	
6	St.Charles	6	6	6	6	6	6	6	6	6	
CORRELATION VALUES		0.25714	0.25714	0.25714	0.14286	0.14286	0.14286	-0.0572	0.114	0.114	

ACTIVE CROSSINGS

Base Rankings	County	1	2	3	1	2	3	1	2	3	Multiplication Factor(X)
		1	1	1	2	2	2	3	3	3	Root Factor(Y)
1	Barry	1	1	1	2	1	1	2	2	1	
2	Jasper	4	4	4	4	4	4	4	4	4	
3	Jackson	2	2	2	1	2	2	1	1	2	
4	Barton	3	3	3	3	3	3	3	3	3	
5	Cass	5	5	5	5	5	5	5	5	5	
6	Clay	6	6	6	6	6	6	6	6	6	
CORRELATION VALUES		0.82857	0.82857	0.82857	0.71429	0.82857	0.82857	0.71429	0.714	0.829	

APPENDIX VI: REQUIRED APPROACH & STOPPING SIGHT DISTANCE

Appendix VI

REQUIRED APPROACH & STOPPING SIGHT DISTANCE

Required Design Sight Distances for Combinations of Highway and Train Vehicle Speeds

Train Speed	Highway Speed in MPH							
	0	10	20	30	40	50	60	70
	Distance Along Railroad from Crossing							
10	162	126	94	94	99	107	118	129
20	323	252	188	188	197	214	235	258
30	484	378	281	281	295	321	352	387
40	645	504	376	376	394	428	470	516
50	807	630	470	470	492	534	586	644
60	967	756	562	562	590	642	704	774
70	1,129	882	656	656	684	750	822	904
80	1,290	1,008	752	752	788	856	940	1,032
90	1,450	1,134	844	844	884	964	1,056	1,160
	Distance Along Highway from Crossing							
	20	65	125	215	330	470	640	840

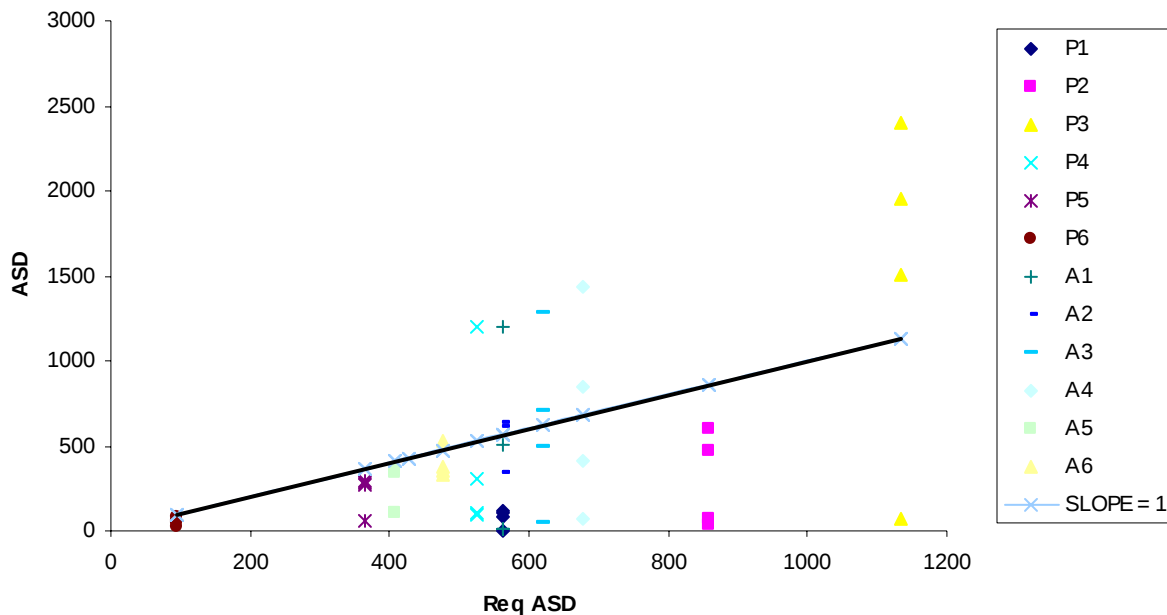
Note: 1 mph = 1.61 kph and 1 foot = .304 meters

APPROACH SIGHT DISTANCE

Type of Crossing	County	Unsafe Quadrants	safe Quadrants	% of Quadrants less than Required
Passive 1	COLE	4	0	100
Passive 2	CHARITON	4	0	100
Passive 3	LINN	1	3	25
Passive 4	CASS	1	3	25
Passive 5	MC DONALD	4	0	0
Passive 6	ST CHARLES	4	0	0
TOTAL		18	6	75
Active 1	BARRY	3	1	75
Active 2	JASPER	1	3	25
Active 3	JACKSON	2	2	50
Active 4	BARTON	2	2	50
Active 5	CASS	4	0	100
Active 6	CLAY	3	1	75
TOTAL		15	9	62.5

Graph Drawn between the actual approach sight distances versus the Required Sight Distances.

APPROACH SIGHT DISTANCE



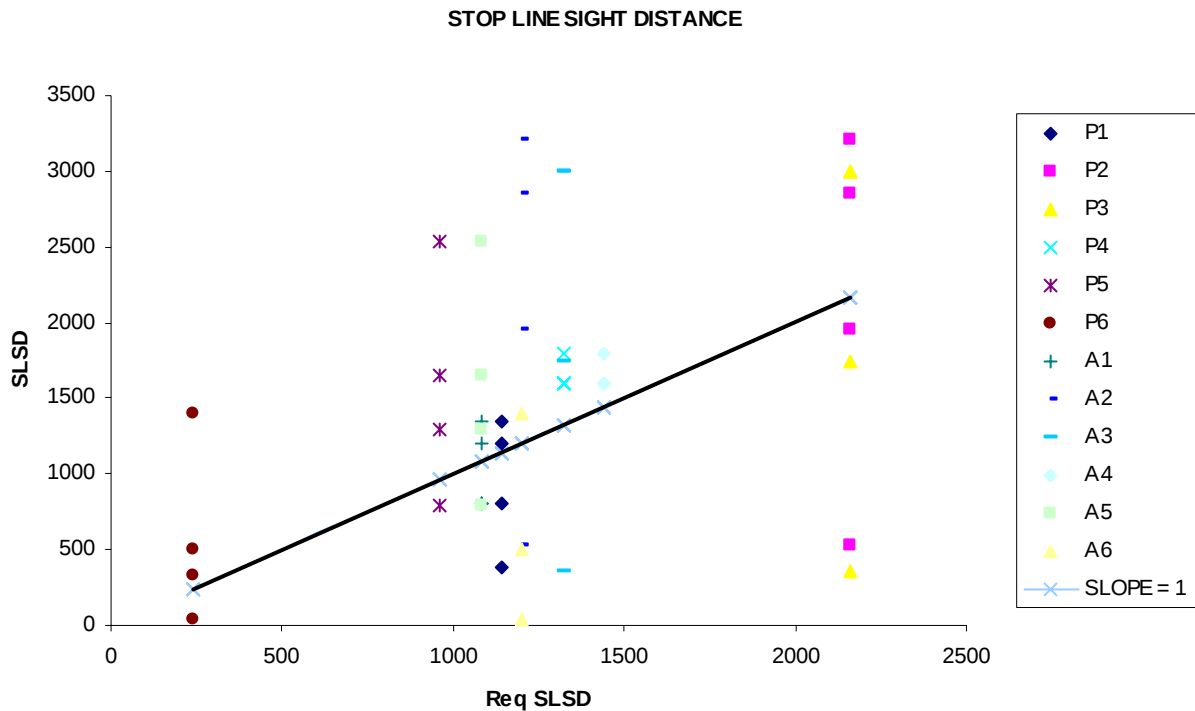
Safe Quadrant: Required Sight Distance > Actual Sight Distance

Unsafe Quadrant: Required SD < Actual Sight Distance

STOP LINE SIGHT DISTANCE

Type of Crossing	County	unsafe Quadrants	safe Quadrants	% of Quadrants less than Required
Passive 1	COLE	2	2	50
Passive 2	CHARITON	2	2	50
Passive 3	LINN	2	2	50
Passive 4	CASS	0	4	0
Passive 5	MC DONALD	1	3	25
Passive 6	ST CHARLES	1	3	25
TOTAL		8	16	33.33
Active 1	BARRY	2	2	50
Active 2	JASPER	2	2	50
Active 3	JACKSON	1	3	25
Active 4	BARTON	0	4	0
Active 5	CASS	1	3	25
Active 6	CLAY	3	1	75
TOTAL		9	15	60

Graph Drawn between the actual approach sight distances versus the Required Sight Distances.



Safe Quadrant: Required Sight Distance > Actual Sight Distance

Unsafe Quadrant: Required SD < Actual Sight Distance